



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,997	04/02/2001	Gilbert Levesque	064731.0218

**CONFIRMATION NO. 1332**

**FORMALITIES LETTER**



\*OC000000006179925\*

Baker Botts L.L.P.  
2001 Ross Avenue  
Dallas, TX 75201-2980

Date Mailed: 06/14/2001

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

*[Signature]*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



O I P E

AUG 16 2001

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PATENT &amp; TRADEMARK OFFICE

UNITED STATES PATENT AND TRADEMARK OFFICE

**DOCKETED**

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/824,997	04/02/2001	Gilbert Levesque	064731.0218

Baker Botts L.L.P.  
 2001 Ross Avenue  
 Dallas, TX 75201-2980

*Oath/Declaration, Assignment  
 and Drawings Due:* CONFIRMATION NO. 1332  
 FORMALITIES LETTER



\*OC000000006061549\*

*July 11, 2001*

Date Mailed: 05/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:

- drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

  
 Customer Service Center

Docket  Wrapper RVF Docketed Reference(s)



The "Received" stamp of the Patent and Trademark Office imprinted hereon acknowledges the filing of:

	Total Pages	Total Pages
<input type="checkbox"/> New Appln.; C.P.A.; Con.; CIP.; Div.; Provisional; Converted Provisional (Specification, Claims, Abstract)	_____	<input type="checkbox"/> Mo. Extension of Time _____
<input type="checkbox"/> Bibliographic Data Sheet	_____	<input type="checkbox"/> Notice of Appeal _____
<input type="checkbox"/> Declaration/power of Attorney	_____	<input type="checkbox"/> Appeal Brief _____
<input type="checkbox"/> Assignment	_____	<input type="checkbox"/> Issue Fee Transmittal _____
<input type="checkbox"/> Verified Statement	_____	<input type="checkbox"/> Check No. _____ Amt.: _____
<input checked="" type="checkbox"/> Formal Drawing	6	<input type="checkbox"/> Check No. _____ Amt.: _____
<input type="checkbox"/> Informal Drawings	_____	<input checked="" type="checkbox"/> Serial No. <u>09/824,997</u>
<input type="checkbox"/> Amendment/Response	_____	<input type="checkbox"/> Other _____
 Name of Inventor(s): <u>Levesque, et al.</u>		Receipt Date & Serial No:
 Title of Invention: <u>Filtering Network Management...</u>		
Client or Applicant: <u>Fujitsu</u>	BB File No.: <u>064731-0218</u>	
Mailed: <u>05/31/01</u>	Certificate of Mailing Express Mail <input checked="" type="checkbox"/>	
Due:	Receipt No.: <u>EL 7591909 75 US</u>	
Atty/Secy: <u>KMP/BES/rag</u>	Certificate Of Mailing First Class Mail <input type="checkbox"/>	
	Hand Delivered <input type="checkbox"/>	

BAKER BOTTS, L.L.P.

JUN 11 2001

RECEIVED



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEVESQUE, et al.  
Serial No. 09/824,997  
Date Filed: April 2, 2001  
Title: *Filtering Network Management Messages*

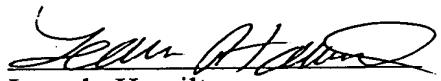
**BOX MISSING PARTS**

Honorable Assistant Commissioner  
For Patents  
Washington, D.C. 20231

Dear Sir:

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

I hereby certify that the attached Supplemental Response to Notice to File Missing Parts of Nonprovisional Application, Formal Drawings, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 31st day of May, 2001 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

  
Levado Hamilton

Express Mail Receipt  
No. EL 759190975 US  
Attorney's Docket: 064731.0218

EL759190975US



ATTORNEY'S DOCKET  
064731.0218

PATENT APPLICATION

1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEVESQUE, et al.  
Serial No.: 09/824,997  
Filing Date: April 2, 2001  
Title: *Filtering Network Management Messages*

**BOX MISSING PARTS**  
Assistant Commissioner for  
Patents  
Washington, D.C. 20231

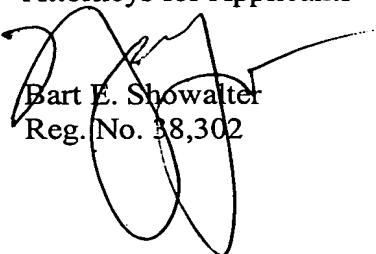
Dear Sir:

**SUPPLEMENTAL RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is a supplemental response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted mailed May 10, 2001. Applicants submit herewith substitute drawings in compliance with 37 C.F.R. § 1.84.

Respectfully submitted,

BAKER BOTT'S L.L.P.  
Attorneys for Applicants

  
Bart E. Showalter  
Reg. No. 38,302

Date: May 31, 2001

BAKER BOTT'S L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, TX 75201-2980  
Tel. 214.953.6509  
Fax 214.661.4509

08/17/01

03CO

ATTORNEY'S DOCKET  
064731.0218

PATENT APPLICATION



1

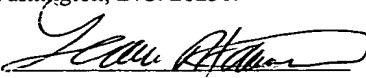
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: LEVESQUE, et al.  
Serial No.: 09/824,997  
Filing Date: April 2, 2001  
Title: *Filtering Network Management Messages*

TODAY'S MISSING PARTS

**BOX MISSING PARTS**  
Assistant Commissioner for  
Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL Receipt No. EL759105331US	
I hereby certify that this communication is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.	
 Levado Hamilton Date: <u>08/16/01</u>	

**RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION**

This is a response to the Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted June 14, 2001. Applicants submit that the substitute drawings have already been sent to the Patent and Trademark Office via a Supplemental Response to Notice to File Missing Parts of Nonprovisional Application mailed on May 31, 2001, and that the surcharge has already been paid. Applicants resubmit copies of all mailing documents including substitute drawings in compliance with 37 C.F.R. § 1.84.

ATTORNEY DOCKET NO.  
064731.0218

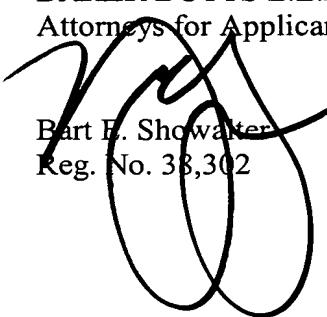
PATENT APPLICATION  
09/824,997

2

The Commissioner is hereby authorized to charge any additional amount required or credit any overpayment to Deposit Account #02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.  
Attorneys for Applicants

  
Bart E. Showalter  
Reg. No. 33,302

Date: Aug. 16, 2001

TELETYPE REC'D